

HO CHI MINH STOCK EXCHANGE



**GROUND RULES FOR
VNALLSHARE INDEX**

Version 4.1

*(In accordance with Decision No 738/QĐ-SGDHCM on September 29, 2026
by the Chairman of Ho Chi Minh Stock Exchange)*

**Ho Chi Minh city
September - 2026**

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HISTORY OF AMENDMENTS

Version	Content
4.1	- Restructuring the Ground rules for management of the HOSE-Index Series version 4.0 (regarding the VNAllshare index).

1. INTRODUCTION

- 1.1. The Ground Rules for the VNAllshare Index are issued upon approval by the Vietnam Exchange (VNX), establishing the core principles governing the construction, maintenance, and daily administration of the VNAllshare Index by the Ho Chi Minh Stock Exchange (HOSE) under authority delegated by VNX.
- 1.2. These Ground Rules may be supplemented, amended, or withdrawn in whole or in part at any time. Such amendments, supplements, or withdrawals of the rules may lead to changes in the construction and management of the Index or directly affect the Index.
- 1.3. All intellectual property rights related to these Ground Rules and the Index, including name, the composition, and the calculation of the Index, are owned by VNX and HOSE. Except for cases where permission is not required and exceptions stipulated by the Law on Intellectual Property any reproduction in whole or in part of these Ground Rules without the prior consent of VNX or HOSE shall be considered a copyright infringement and handled in accordance with the provisions of law.
- 1.4. Unless otherwise specified in these Ground Rules, all related matters shall be governed by the provisions outlined in the Ground Rules for HOSE Equity Indices (the Ground Rules for HOSE Indices).

2. GENERAL INDEX OVERVIEW

- 2.1. ***The VNAllshare Index*** is a benchmark index, comprising all stocks listed on HOSE that satisfy the eligibility requirements and index screening criteria.
- 2.2. ***The VNAllshare Price Index*** is calculated based on free-float adjusted market capitalization and is subject to capitalization weight capping.

The Price Index is calculated on real-time basis and distributed every 5 seconds during the trading days.

The base value of the Price Index is 560.19, and the base date is January 24, 2014.

- 2.3. The VNAllshare Total Return Index (VNAllshare TRI) shall be calculated based on the VNAllshare Price Index, reflecting both price movements and the reinvestment of cash dividends from constituent stocks.

The Total Return Index shall be calculated and distributed one time at the end of each trading day.

The base value of the index is 607.18. The base date of the index is July 24th 2015.

- 2.4. The index constituent basket shall be:
 - Reviewed semi-annually in January and July.

- Subject to the removal of stocks with an unrounded free-float ratio (f) $< 10\%$ and $GTVH_f < 2000$ billion VND (if any) in April and October.
- Updated for information (including free-float ratio, the volume of outstanding shares for index calculation, capitalization weight capping factor, and index constituents (if any)) quarterly in January, April, July and October.

Refer to detailed provisions regarding the **Data cut-off date**, **Announcement date**, and **Effective date** for periodic reviews and information updates as specified in the **Definitions** section of the Ground Rules for HOSE Indices.

3. DEFINITIONS

Eligible stocks, unrounded free-float ratio (f), Turnover ratio, and free-float adjusted market capitalization ($GTVH_f$): refer to the **Definitions** in the Ground Rules for HOSE Indices.

4. PERIODIC SCREENING OF INDEX CONSTITUENTS

4.1. Periodic index constituent screening steps

Step 1: From the list of stocks listed on HOSE, select stocks that are eligible for index inclusion.

Step 2: From the list of stocks satisfying the conditions after Step 1, select stocks that satisfy the following conditions:

- Unrounded $f \geq 10\%$; *or*
- Unrounded $f < 10\%$ and satisfying one of the following conditions:
 - For stocks included in the previous index review: $GTVH_f \geq 2,000$ billion VND; *or*
 - For stocks not included in the previous index review: $GTVH_f \geq 2,500$ billion VND

Step 3: From the list of stocks satisfying the conditions after Step 2, select stocks that satisfy the following conditions into the official index basket:

- For stocks included in the previous index review: Turnover ratio $\geq 0.04\%$; *or*
- For stocks not included in the previous index review: Turnover ratio $\geq 0.05\%$.

4.2. Update of index basket before the Effective Date

The index basket shall continue to be updated, excluding stocks in accordance with the regulations on **Update of index basket before the Effective Date** outlined in the Ground Rules for HOSE Indices.

Excluded stocks shall not be replaced or supplemented.

5. INTRA PERIOD ADJUSTMENTS TO INDEX CONSTITUENTS

- 5.1. The index basket shall be adjusted intra period if it falls under the cases of *Intra Period Adjustments To Index Constituents* specified in the Ground Rules for HOSE Indices.
- 5.2. Excluded stocks shall not be replaced or supplemented.

6. INDEX CALCULATION METHODOLOGY

- 6.1. The VNAllshare price index and VNAllshare TRI are calculated in accordance with the *Price Index Calculation Methodology* and *Total Return Index Calculation Methodology* stipulated in the Ground Rules for HOSE Indices.
- 6.2. Capping factor of stock (c)
The capitalization weight capping factor of stock shall be calculated in accordance with the *Capping factor of stock (c)* provisions in the Ground Rules for HOSE Indices.
A capitalization weight capping of 10% is applied to any single constituent stock in the index.
- 6.3. Stock weight adjustment factor (g): Not applicable.

7. CONTACT INFORMATION

For more information regarding index construction methodology, calculation, licensing and data services, please visit the official website or contact the Market Information Department of the Ho Chi Minh Stock Exchange (HOSE).



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